

# Systemische toxiciteit van formaldehyde

het belang van endogene vorming en van  
exogene piekblootstelling

Paul Scheepers

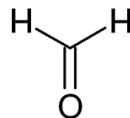
Radboud Institute for Health Sciences

CGC/NVT, 18 maart 2021

# Toxicity profile of formaldehyde

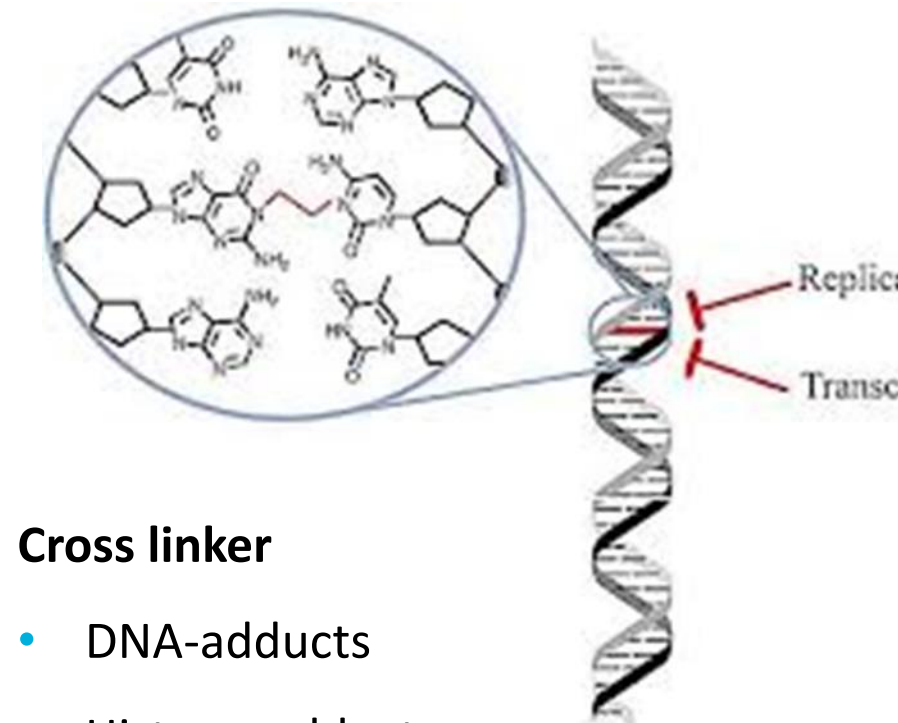
## Local

- Sensory irritation of airways
- Irritative and allergic dermatitis
- Tumors of the nose and nose sinuses



## Systemic

- Lympho-haemopoietic Cancer (LHC)
- Reproductive toxicity



## Cross linker

- DNA-adducts
- Histone-adducts
- DNA-protein cross links (DPC)

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# Formaldehyde; Health-based recommended occupational exposure limit (HBR-OEL)

- “... This implies that the DECOS considers a health based occupational exposure level (HBR-OEL) of 0.15 mg/m<sup>3</sup> (0.12 ppm) (see page 69) formaldehyde low enough to protect workers against nasal tissue damage, **and as a consequence, also against the potential risk of nasal cancer.**’
- “... To **avoid peak exposures** possibly entailing cytotoxicity-induced hyperproliferation and metaplasia of the nasal respiratory epithelium, DECOS recommends for formaldehyde a short-term exposure limit (STEL)”
- “The committee concluded that the total body of evidence indicates that 0.5 mg/m<sup>3</sup> is an exposure level which is **low enough to avoid significant sensory irritation from short term exposures** and thus, more importantly, **also will be low enough to avoid nasal cytotoxicity from such short exposures.**”

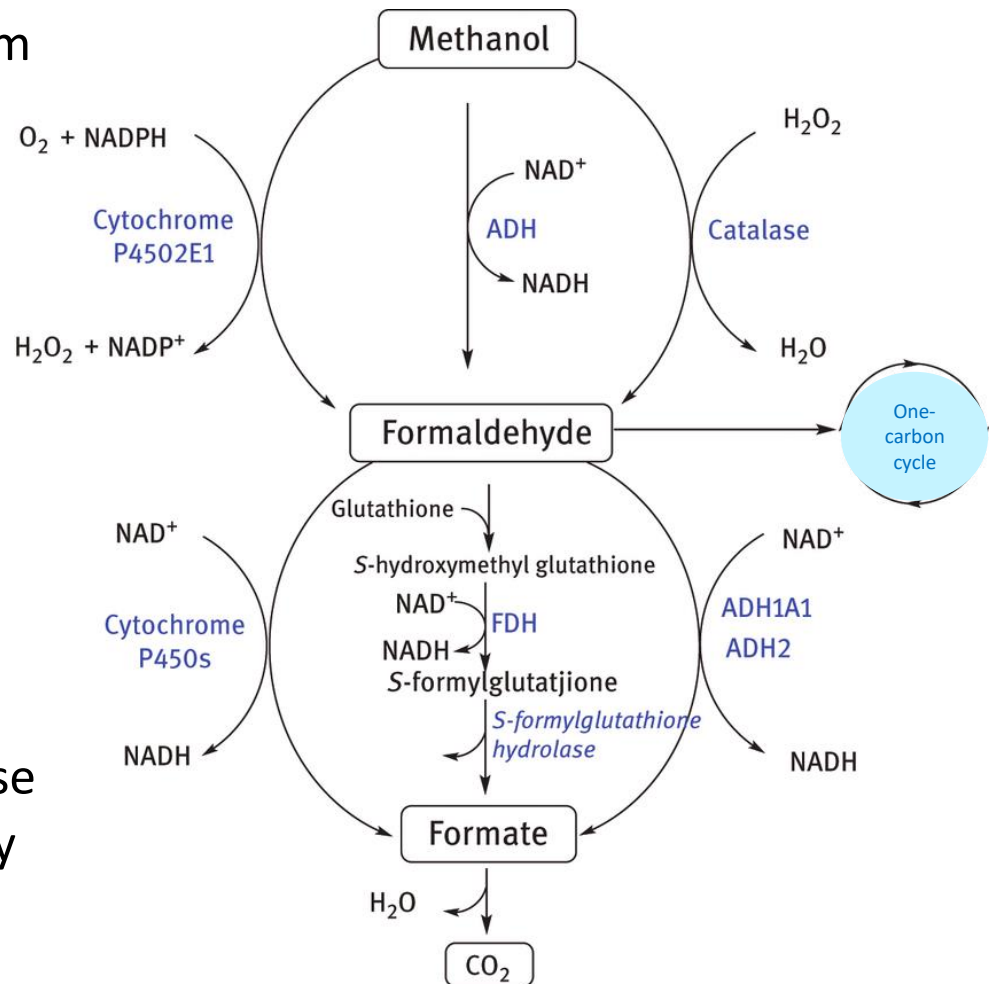
# Exogenous Formaldehyde

Exogenous exposure may arise from exposure in the general environment:

- Outdoor air
- Indoor air
- Consumer products
- Open fire
- Road vehicle exhaust

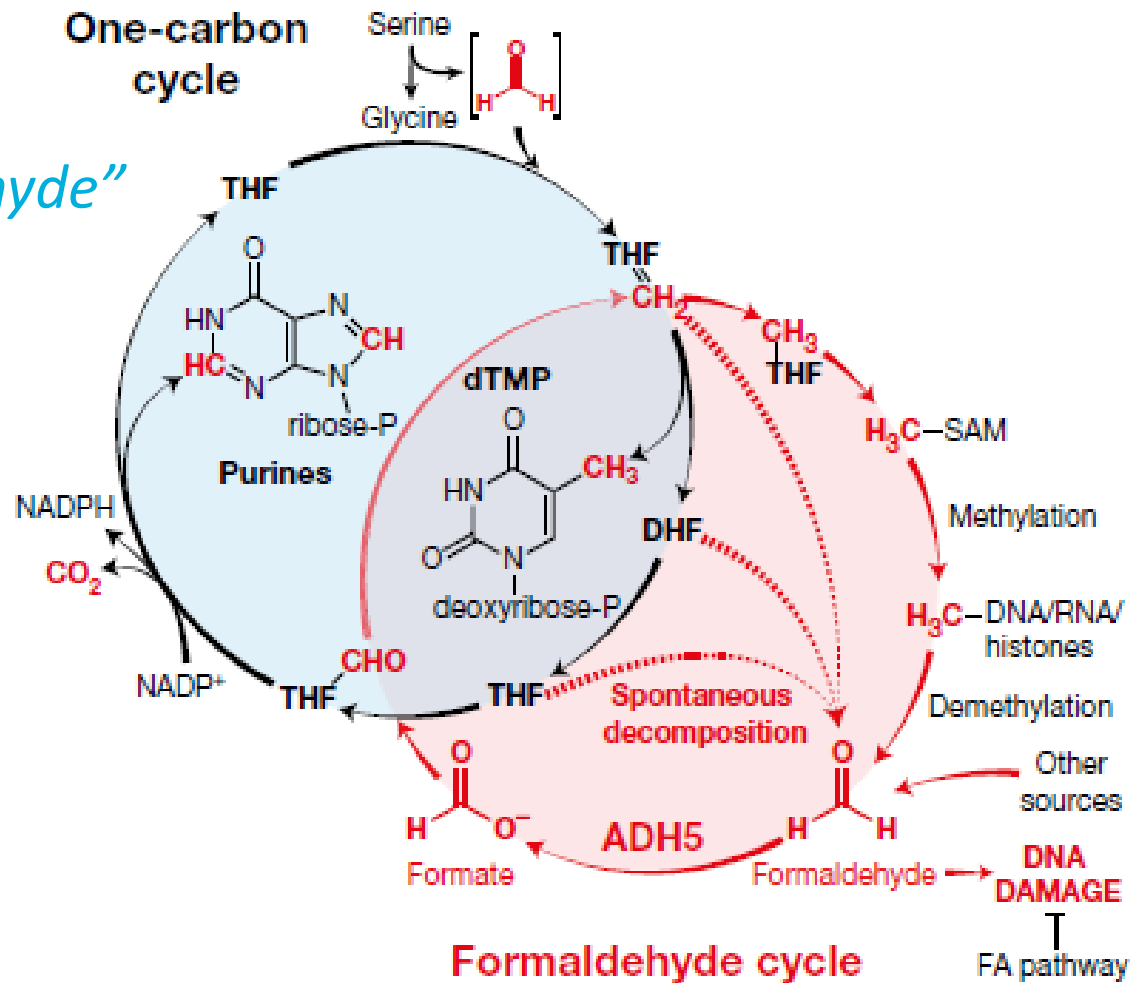
Sources of occupational exposures are:

- Industrial manufacturing and use
- Professional use e.g. in anatomy and pathology laboratories



# Endogenous formaldehyde cycle

*"We live with formaldehyde"*



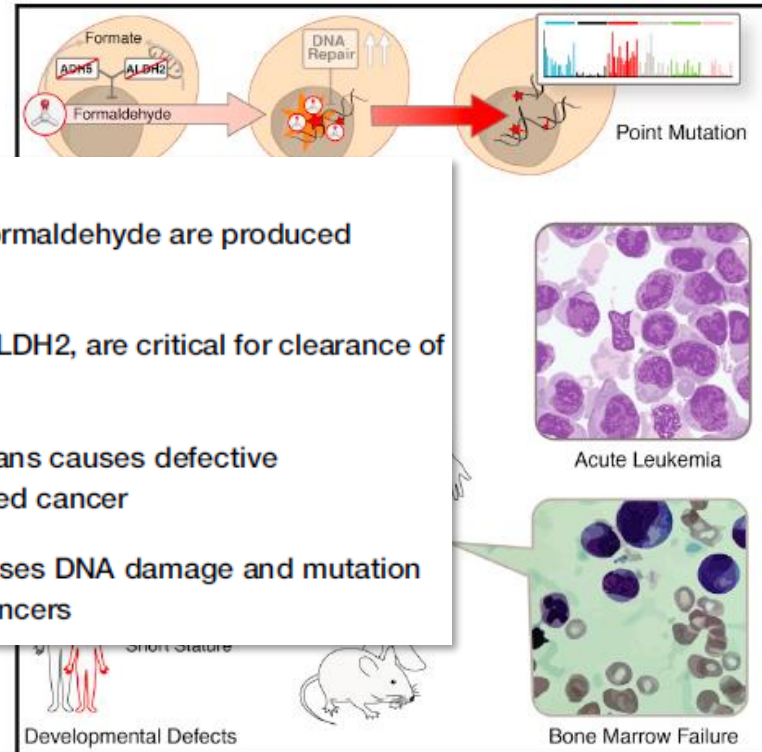
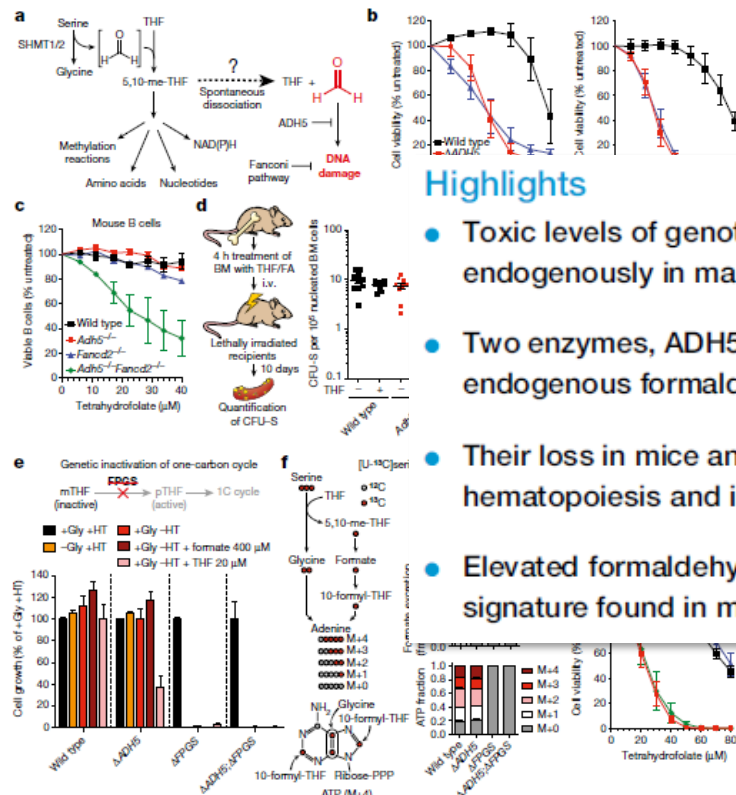
## Legend

DHF	= dihydrofolaat
dTMP	= thymidylate
THF	= tetrahydrofolate
THF-CHO	= 10-formyl-THF
THF-CH <sub>3</sub>	= 5-methyl-THF
SAM	= S-adenosylmethionine
FA	= Fanconi anaemia

# Endogenous\* formaldehyde toxicity

# Mammals divert endogenous genotoxic formaldehyde into one-carbon metabolism

## Two Aldehyde Clearance Systems Are Essential to Prevent Lethal Formaldehyde Accumulation in Mice and Humans



Burgos-Barragan et al. *Nature*. 2017 Aug 31;548(7669):612.  
<https://doi.org/10.1038/nature23481>

Dingler et al., 2020, Molecular Cell 80, 996–1012  
December 17, 2020 © 2020 MRC Laboratory of Molecular Biology.  
Published by Elsevier Inc.  
<https://doi.org/10.1016/j.molcel.2020.10.012>

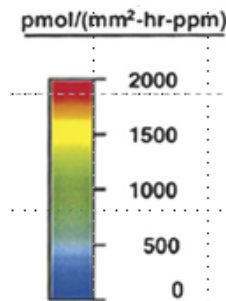
\*originating e.g. from histone demethylation and folic acid decomposition

# Local effect of inhaled formaldehyde

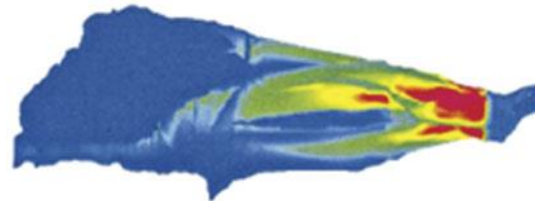
Nasal wall flux spectra of inhaled formaldehyde simulated in rats, monkeys and humans at normal inspiratory flow rates.

The deposition pattern corresponds to tumor locations

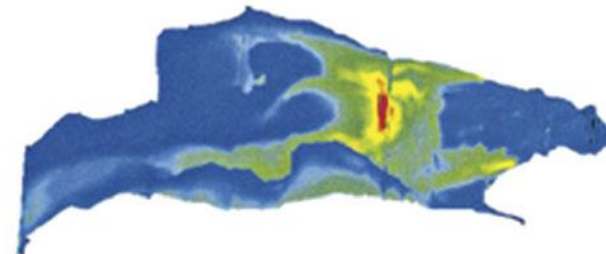
Nose tumors are more frequently observed than tumors of the nose sinuses



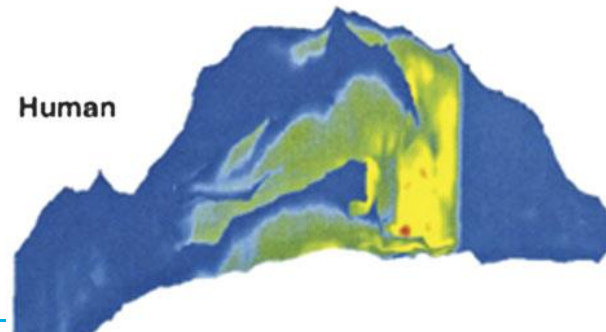
F344 Rat



Rhesus Monkey



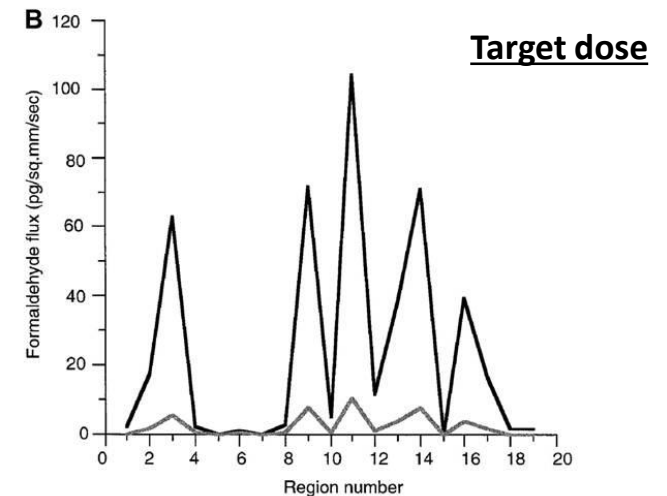
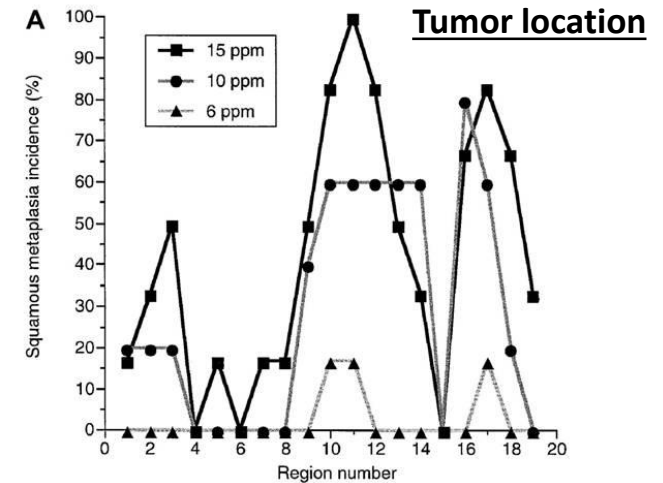
Human





# Nose-only exposure of rats

Observed deposition pattern corresponds to nose tumor locations





# Evaluaties neus- en neusbijholtekanker

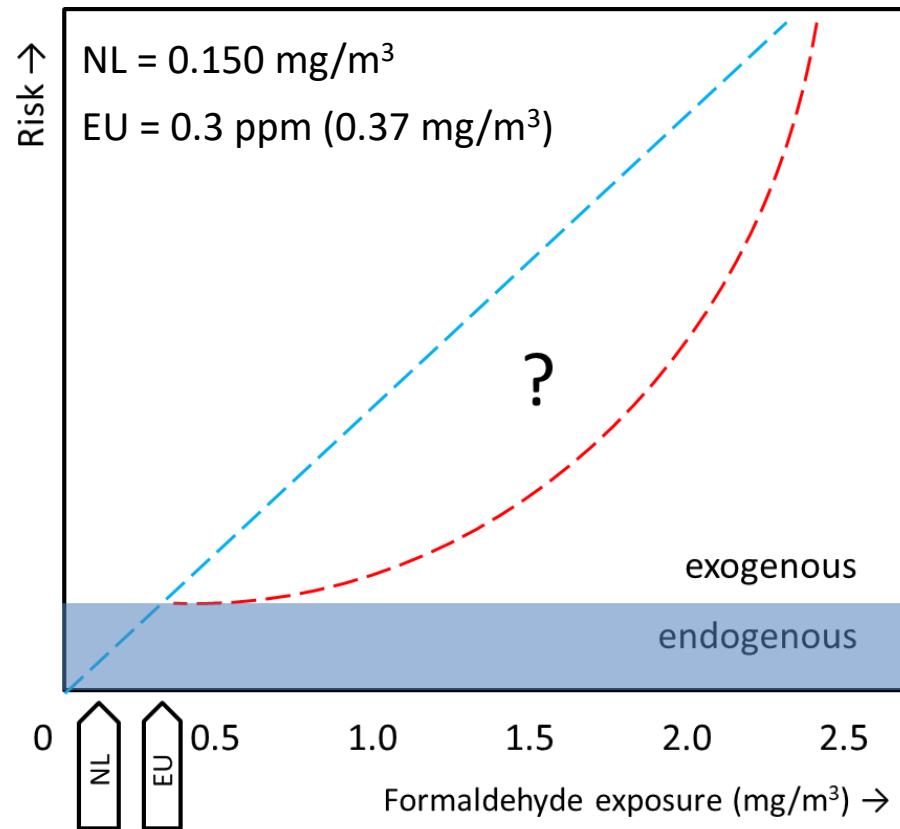
Expert groep	Classificatie	Doelorgaan	Causaliteit	Veilige grens
Gezondheidsraad, 2003	Geen uitspraak	Neuskeelholte en de neusbijholte	Beperkt bewijs	<u>Onder 0,150 mg/m<sup>3</sup> zijn werknemers voldoende beschermd tegen kanker</u>
IARC, 2012	Groep 1 -Formaldehyde is kankerverwekkend voor de mens	Neus-keelholte	Voldoende bewijs voor oorzakelijk verband	Geen uitspraak
		Neusbijholte	Positieve associatie (maar geen causaliteit)	Geen uitspraak
US-EPA 2010 (openbaar concept)	Groep B1 - Waarschijnlijk kankerverwekkend op basis van beperkt bewijs in de mens	Tumoren van de neus- en keelholte	Beperkt bewijs voor een associatief verband in humane studies en voldoende bewijs in dierstudies	Geen uitspraak
NRC, 2014	Bevestigd als oorzaak van kanker	Neus-keelholte	Causaliteit is bevestigd	Geen uitspraak
		Adenocarcinoma en plaveiselcel- carcinoma in de neusholte en neusbijholte	Bewijs voor een causaal verband op basis van humane studies is consistent	Geen uitspraak
SCOEL 2016	Genotoxisch maar met een drempel-waarde (Groep C)	Neuskeelholte	Sterk bewijs voor mutageniteit en een oorzakelijk verband in dierstudies	Alleen <u>boven 0,369 mg/m<sup>3</sup> mogelijk verhoogd risico</u>

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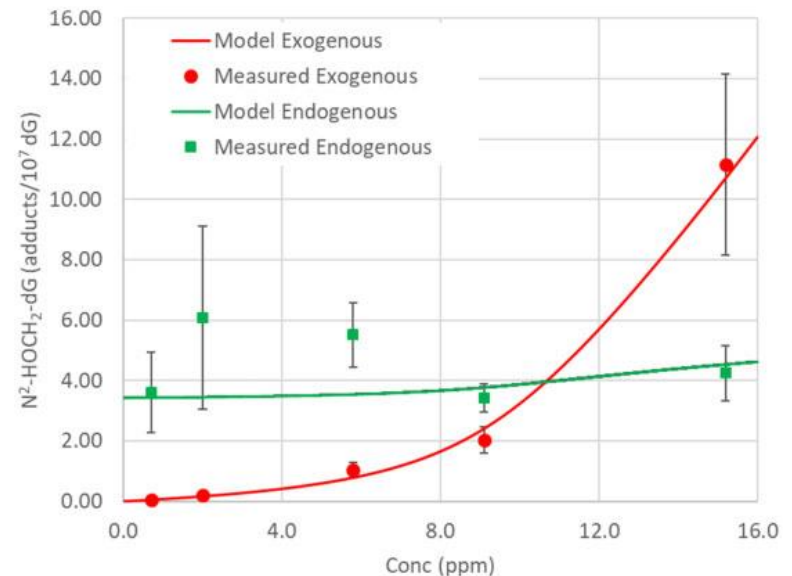
# Classification for cancer (IARC 2015)

- The current data strongly indicate that **genotoxicity** plays an important role in the carcinogenicity of formaldehyde in **nasal tissues** in humans, and that cellular replication in response to formaldehyde-induced **cytotoxicity** promotes the carcinogenic response.
- **Three possible mechanisms, all focused around genotoxicity**, are moderately supported as the underlying mechanism for induction of **haematological malignancies** in humans. Further research is needed to decide which of the mechanisms is the most important.
- The Working Group was **not in full agreement** on the evaluation of **formaldehyde causing leukaemias** in humans, with a small majority viewing the evidence as sufficient of carcinogenicity and the minority viewing the evidence as limited.

# Should formaldehyde be treated as threshold or non-threshold risk?



## DNA-adducts in rat nasal tissue



Predictions of the formaldehyde pharmacokinetic model for endogenous (square) and exogenous (circle) formaldehyde DNA-adducts in rat nasal tissue after a single 6-hour exposure to labeled formaldehyde concentrations of 0.7, 2, 6, 9, and 15ppm (data from Lu et al., 2011).

# Endogenous versus exogenous formaldehyde–induced DPC

Rats inhaled clean air during 4d (control) or 15 ppm of [ $^{13}\text{CD}_2$ ]-formaldehyde during 1d, 2d or 4d; Outcome: single amino acid–nucleoside crosslinks (dG-Me-Cys);

Tissue	Exposure period (days)	dG-Me-Cys (crosslink/ $10^8$ dG)	
		Endogenous	Exogenous
Nasal	4	6.50 $\pm$ 0.30 ( $n = 5$ )	ND
	1	4.42 $\pm$ 1.10 ( $n = 6$ )	5.52 $\pm$ 0.80
	2	4.28 $\pm$ 2.34 ( $n = 6$ )	4.69 $\pm$ 1.76
	4	3.67 $\pm$ 0.80 ( $n = 6$ )	18.18 $\pm$ 7.23
PBMC	4	4.98 $\pm$ 0.61 ( $n = 5$ )	ND
	1	3.26 $\pm$ 0.73 ( $n = 4$ )	ND
	2	3.00 $\pm$ 0.98 ( $n = 5$ )	ND
	4	7.19 $\pm$ 1.73 ( $n = 5$ )	ND
Bone marrow	4	1.64 $\pm$ 0.49 ( $n = 4$ )	ND
	1	1.80 $\pm$ 0.47 ( $n = 4$ )	ND
	2	1.84 $\pm$ 0.61 ( $n = 4$ )	ND
	4	1.58 $\pm$ 0.38 ( $n = 4$ )	ND

ND = Not detected; PBMC = peripheral blood mononuclear cells

# Endogenous and exogenous formaldehyde-induced DNA adducts

Levels of endogenous and exogenous N<sup>2</sup>-HOMe-dG (adducts/10<sup>7</sup> dG) in rat tissues exposed to [<sup>13</sup>CD<sub>2</sub>]-formaldehyde (1 and 30 ppb) for 28 day.

nd = not detected

Note: 300 ppb was also tested but results are not shown here

Tissues	Air control		1 ppb		30 ppb	
	Endogenous	Exogenous	Endogenous	Exogenous	Endogenous	Exogenous
Nasal Mucosa	3.23±0.85	<sup>b</sup> nd	3.59±0.90	nd	3.27±0.76	nd
Bone Marrow	4.83±1.54	nd	4.32±1.21	nd	5.03±1.71	nd
PBMC	2.64±1.03	nd	2.72±0.73	nd	2.80±1.11	nd
Trachea	3.14±0.61	nd	3.23±1.02	nd	3.34±0.75	nd
Liver	2.48±0.21	nd	2.57±0.31	nd	2.44±0.34	nd
Hippo campus	2.35±0.56	nd	2.62±0.74	nd	2.52±0.82	nd
Olfactory Bulbs	2.51±0.62	nd	2.74±1.05	nd	2.84±0.45	nd
Cerebellum	2.45±0.76	nd	2.62±0.67	nd	2.46±0.43	nd
Lung	5.25±3.23	nd	3.72±2.20	nd	4.79±3.22	nd

# Meta analyses of all blood cancers

Meta-analyses of all blood cancers and leukemia associated with formaldehyde. Industry and use by anatomists, pathologists and embalmers (professionals)

Blood cancer type	Zhang et al., 2009				Bosetti et al., 2008			
	<i>N</i>	<i>n</i>	RR	95% CI <sup>a</sup>	<i>N</i>	<i>n</i>	RR	95% CI
All types	19	392	1.25	1.09–1.43				
Industrial					4	234	0.85	0.74–0.96
Professional					9	263	1.31	1.16–1.47
All Leukemia	15	109	1.90	1.18–2.00				
Myeloid leukemia	6	61	1.9	1.31–2.76				
Industrial					4	122	0.9	0.75–1.07
Professional					10	106	1.39	1.15–1.68

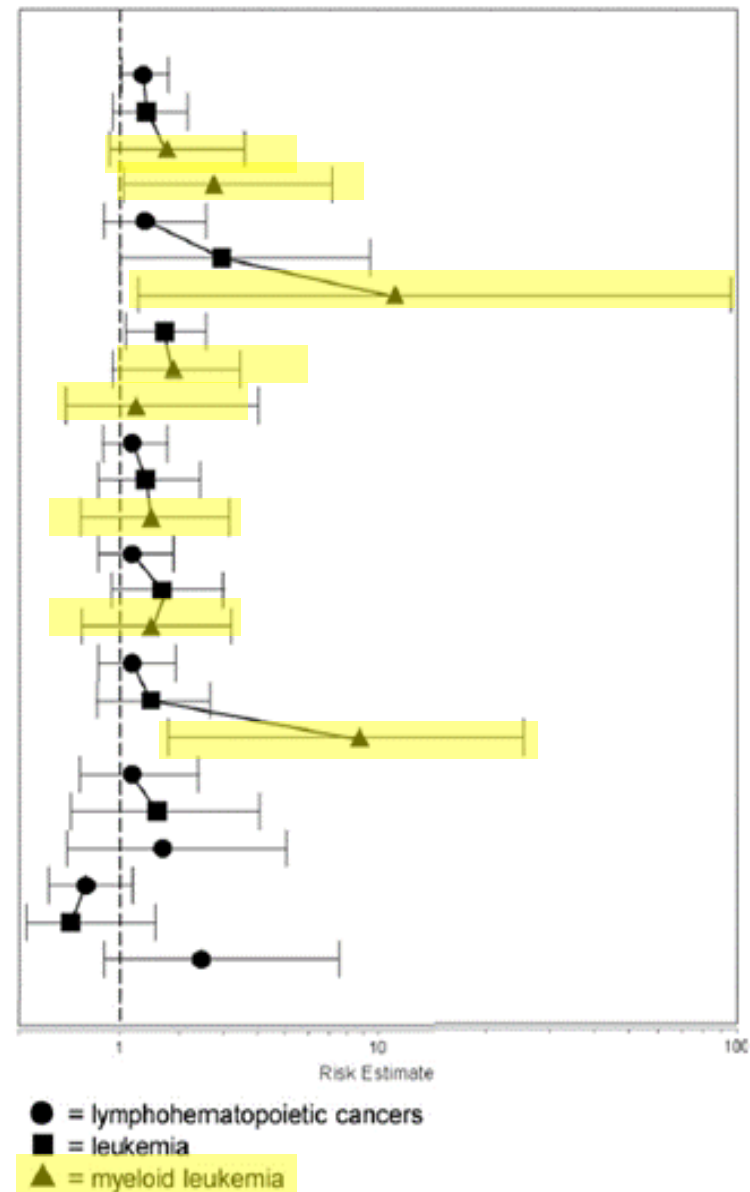
Also available meta-analyses by Colins and Linker (2014) and Blair (2009) with similar results.



Strong studies

Moderately strong studies

Reference	Exposure Definition
Beane Freeman et al. 2009	Peak > 4ppm
Beane Freeman et al. 2009	Peak > 4ppm prior to 1994
Hauptmann et al. 2009	Ever Embalm
Meyers et al. 2013	≥ 20 years since 1 <sup>st</sup> exposure and ≥ 10 years exposed
Coggon et al. 2014	Average > 2ppm
Walrath and Fraumeni 1983	Exposed Profession
Walrath and Fraumeni 1984	Exposed Profession
Stroup et al. 1986	Exposed Profession
Levine et al. 1984	Exposed Profession
Bertazzi et al. 1989	Exposed Jobs
Andjelkovich et al. 1995	Exposed Jobs
Partanen et al. 1993	Exposed Jobs



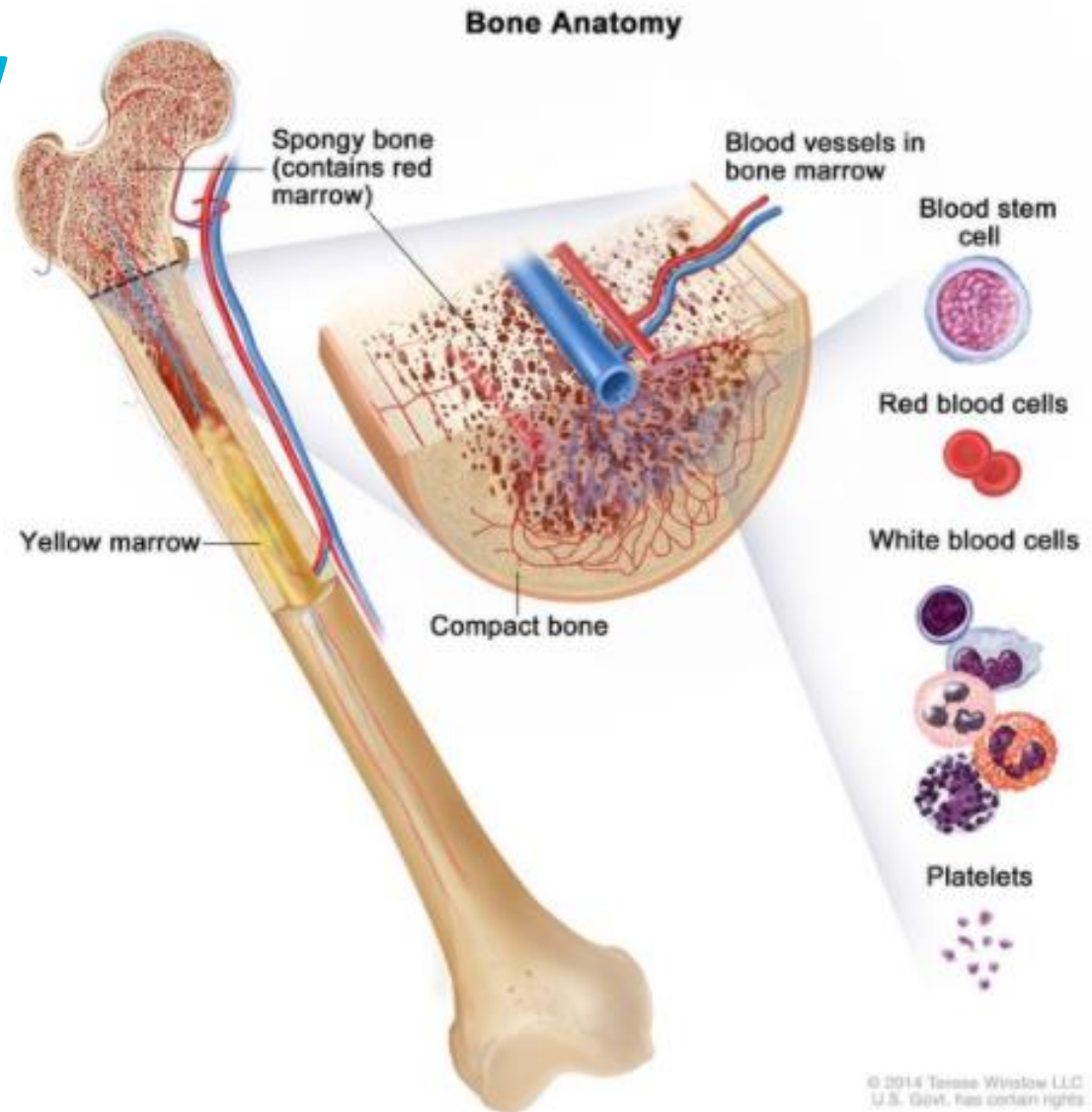
# US embalmers exposed to formaldehyde

Characteristic	No. of control subjects	LHPM of nonlymphoid origin		Myeloid leukemia		Acute myeloid leukemia	
		No. of case subjects	OR† (95% CI)	No. of case subjects	OR† (95% CI)	No. of case subjects	OR† (95% CI)
<500 embalming	83	9	1.0 (Ref.)	5	1.0 (Ref.)	3	1.0 (Ref.)
>500 embalming, questionnaire-based metrics							
Duration of working in jobs with embalming, y							
≤20	47	2	0.3 (0.1 to 1.7)	2	0.5 (0.1 to 2.9)	1	0.4 (0.04 to 4.9)
>20–34	67	16	2.0 (0.8 to 5.0)	13	3.2 (1.0 to 10.1)	8	2.9 (0.7 to 12.2)
>34	68	21	2.6 (1.0 to 6.4)	14	3.9 (1.2 to 12.5)	8	3.1 (0.7 to 13.7)
P for trend‡			.046 (.348)		.020 (.588)		.063 (.612)
[see original paper for complete table]							
TWA8 formaldehyde intensity, ppm							
≤0.10	56	9	1.3 (0.5 to 3.6)	8	2.4 (0.7 to 8.2)	3	1.4 (0.3 to 7.8)
>0.10 to .18	61	16	2.1 (0.8 to 5.3)	10	2.6 (0.8 to 8.7)	7	2.6 (0.6 to 11.4)
>0.18	65	14	1.9 (0.7 to 4.8)	11	2.6 (0.8 to 8.3)	7	2.6 (0.6 to 11.3)
P for trend‡			.256 (.951)		.396 (–.642)		.441 (–.672)
Peak formaldehyde exposure, ppm							
≤7.0	54	10	1.6 (0.6 to 4.5)	9	2.9 (0.9 to 9.8)	4	1.8 (0.4 to 9.3)
>7.0 to 9.3	66	12	1.4 (0.5 to 3.7)	9	2.0 (0.6 to 6.6)	5	2.1 (0.5 to 9.2)
>9.3	62	17	2.3 (0.9 to 5.6)	11	2.9 (0.9 to 9.5)	7	2.9 (0.7 to 12.5)
P for trend‡			.089 (–.944)		.036 (–.778)		.035 (.636)

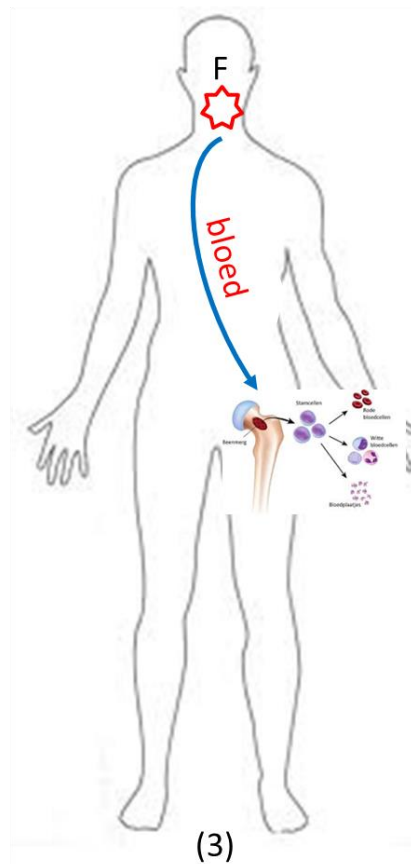
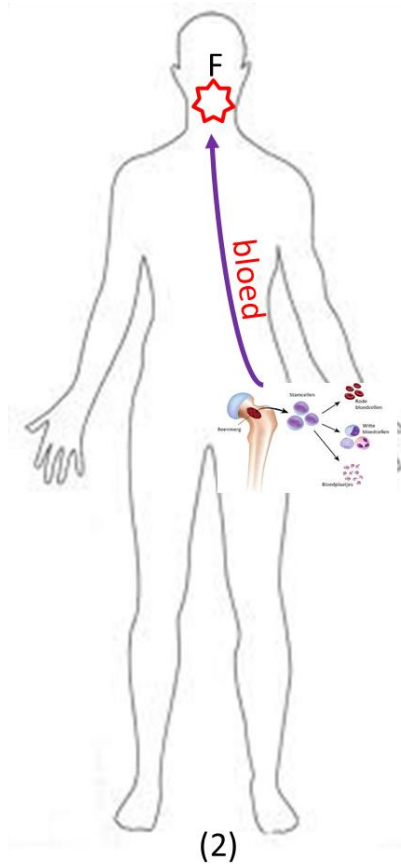
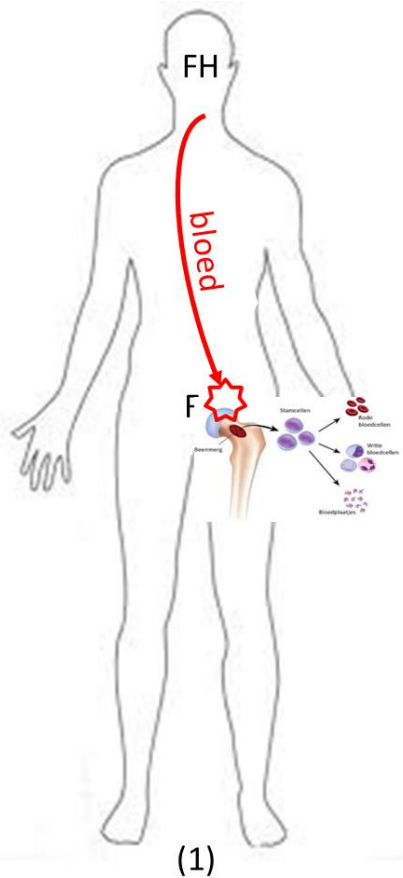
† P trend (Wald test) among exposed only (ie, subjects who embalmed) in parentheses. Trend tests for LHPM of nonlymphoid origin and myeloid leukemia are the same as those presented in Table 3.

# Bone marrow

Red marrow contains blood stem cells that can become red blood cells, white blood cells, or platelets.



# Lymphohematopoietic cancer (LHC)



1. Formaldehyde hydrate reaches bone marrow via circulation and formaldehyde is reformed
2. Hematopoietic myeloid progenitor cells reach nose mucosa where they are transformed by formaldehyde
3. Nasal stem cells are damaged and then migrate to bone marrow

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# Formaldehyde in drinking water study

## **FORMALDEHYDE: AN EXPERIMENTAL MULTIPOTENTIAL CARCINOGEN**

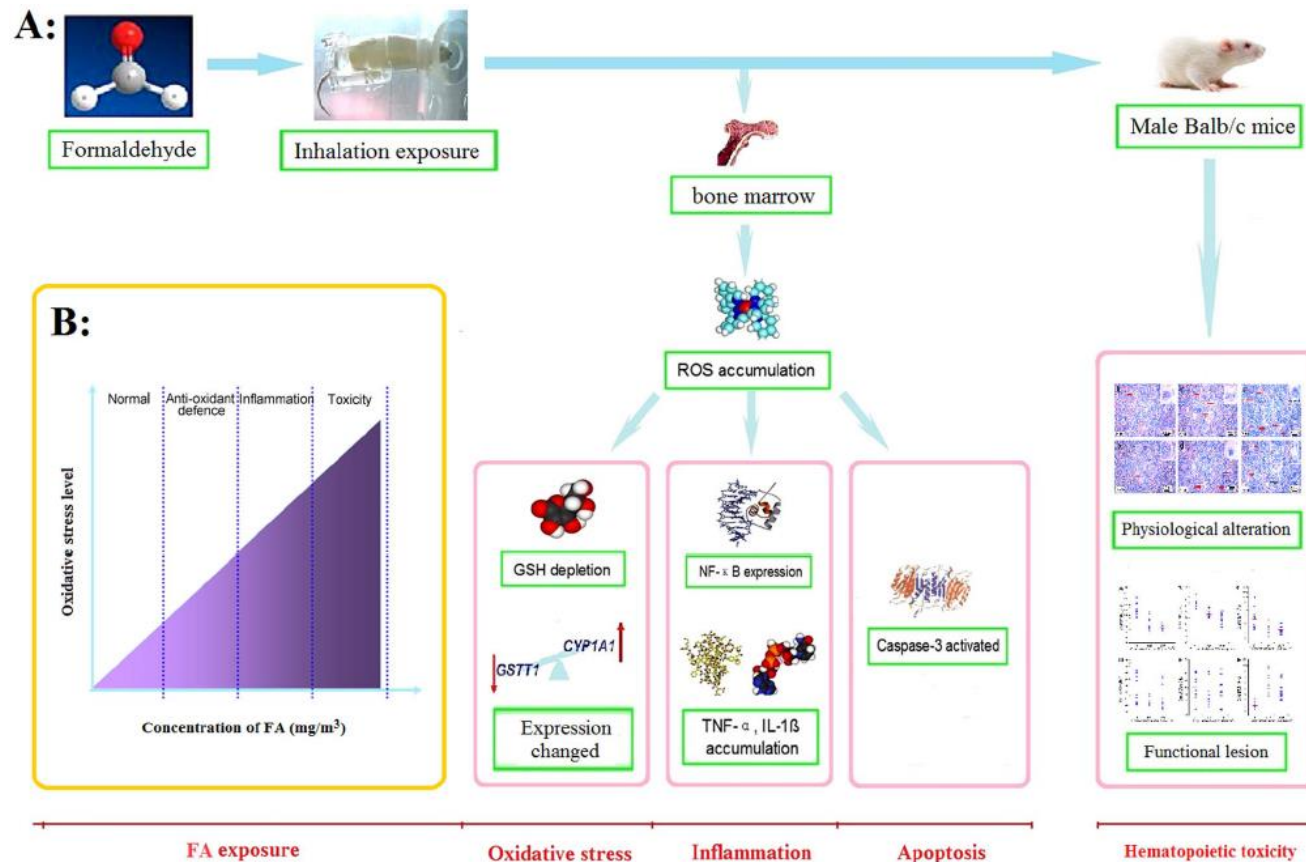
**MORANDO SOFFRITTI, CESARE MALTONI, FRANCESCA MAFFEI  
AND ROBERTA BIAGI**

**Institute of Oncology "F. Addarii",  
Bologna, Italy<sup>1-4</sup>**

As far as the leukemogenic effects of formaldehyde on the Sprague-Dawley rats exposed by ingestion in the BT experiments are concerned, there is an interesting past observation of a bone marrow hyperplasia in Fischer-344 rats exposed by inhalation (Swenberg et al., 1980; Chemical Industry Institute of Toxicology Final Report, 1981). This change "was not considered a primary effect of formaldehyde exposure, but secondary to anoxia due to the presence of obstructive masses in the nasal passages" (Nelson et al., 1986). We think that this observation should now be reconsidered.

# In mouse in vivo

## ROS-mediated inflammation in mice

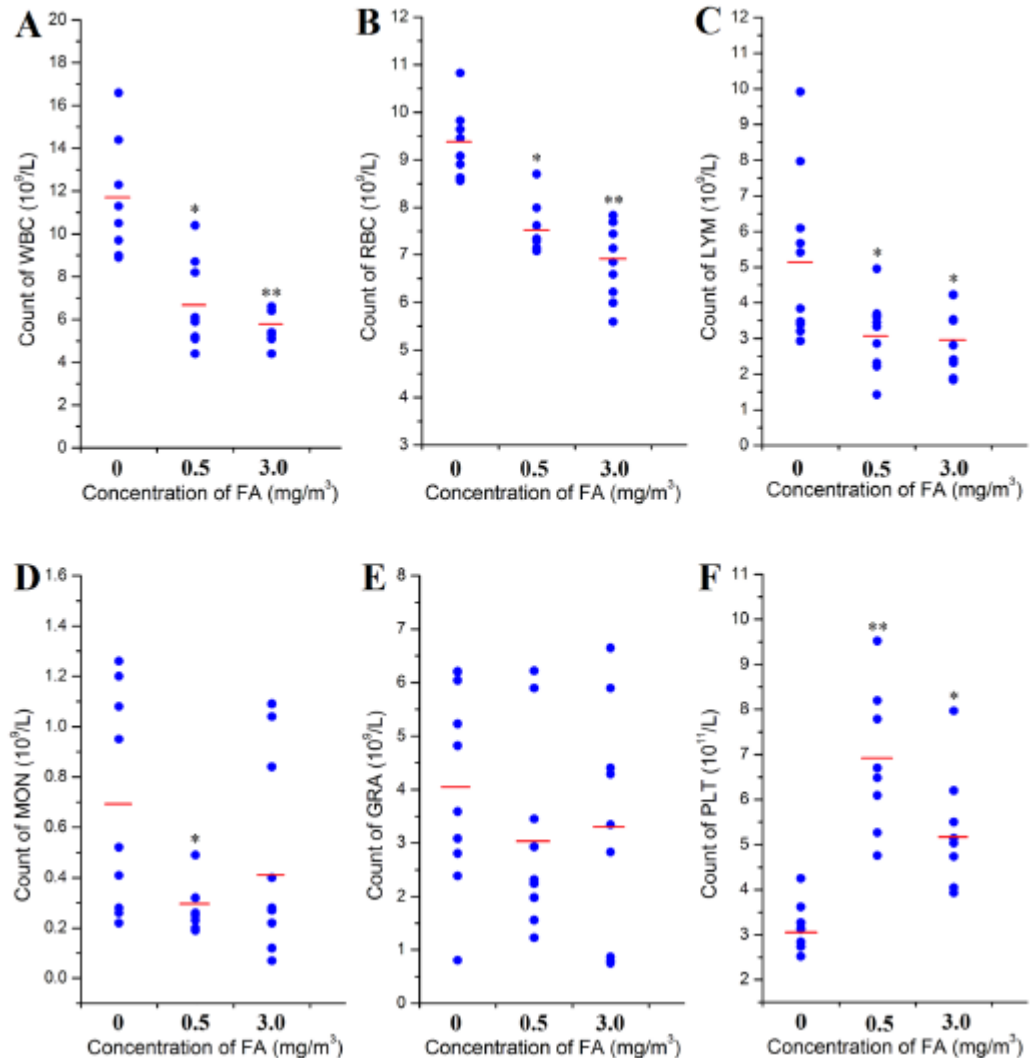




# In exposed mouse blood cells

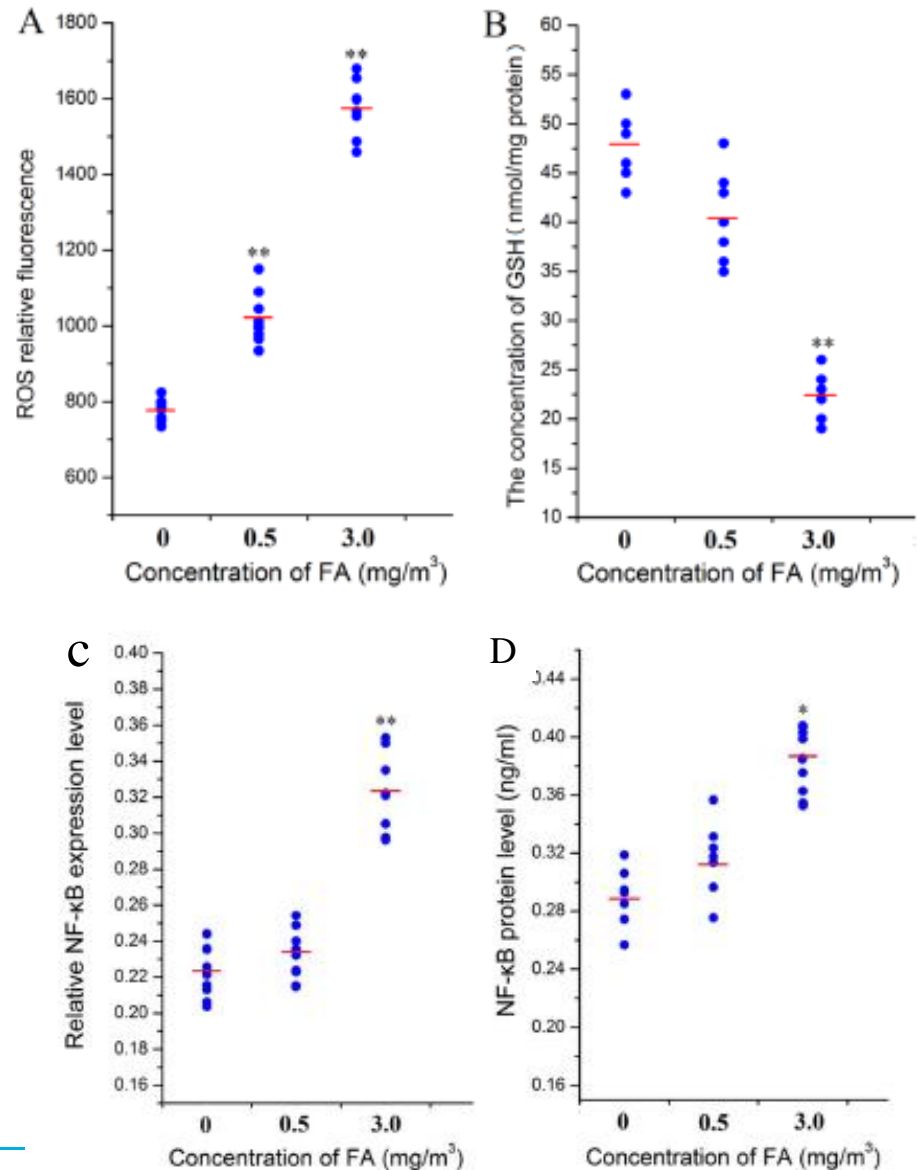
Change in:

- A. White blood cells
- B. Red blood cells
- C. Lymphocytes
- D. Monocytes
- E. Granulocytes
- F. Platelets



# Biomarkers for oxidative stress and inflammation in mouse (in vivo)

- A. Increase of reactive oxygen species (ROS)
- B. Decrease of glutathione (GSH)
- C. Increase of NFkB expression (inflammation)
- D. Increase of NFkB proteins (inflammation)



# Fanconi anaemia

Normally the FA DNA-repair pathway ensures genome stability after exogenous formaldehyde exposure

## Occurrence

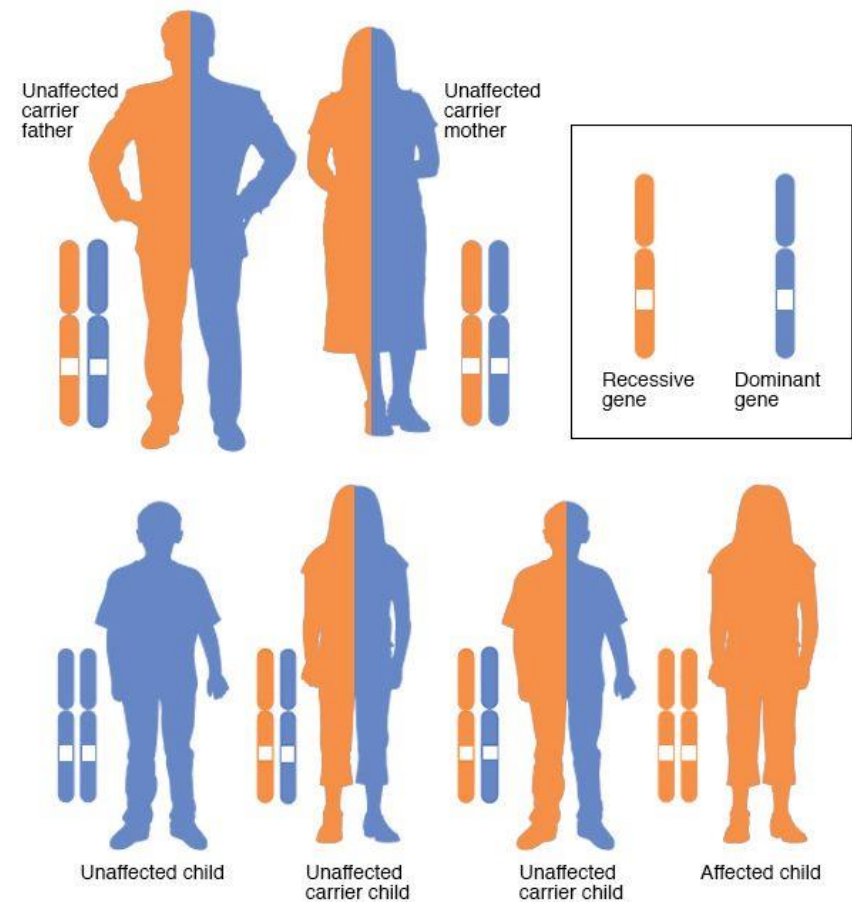
Incidence rate 1 in 160,000) and more frequent in Jews and Roma families

## Consequences of a defect

- impaired response to DNA damage
- 90 % develop bone marrow failure by age of 40
- Majority develop acute myeloid leukemia (AML)

## Treatment

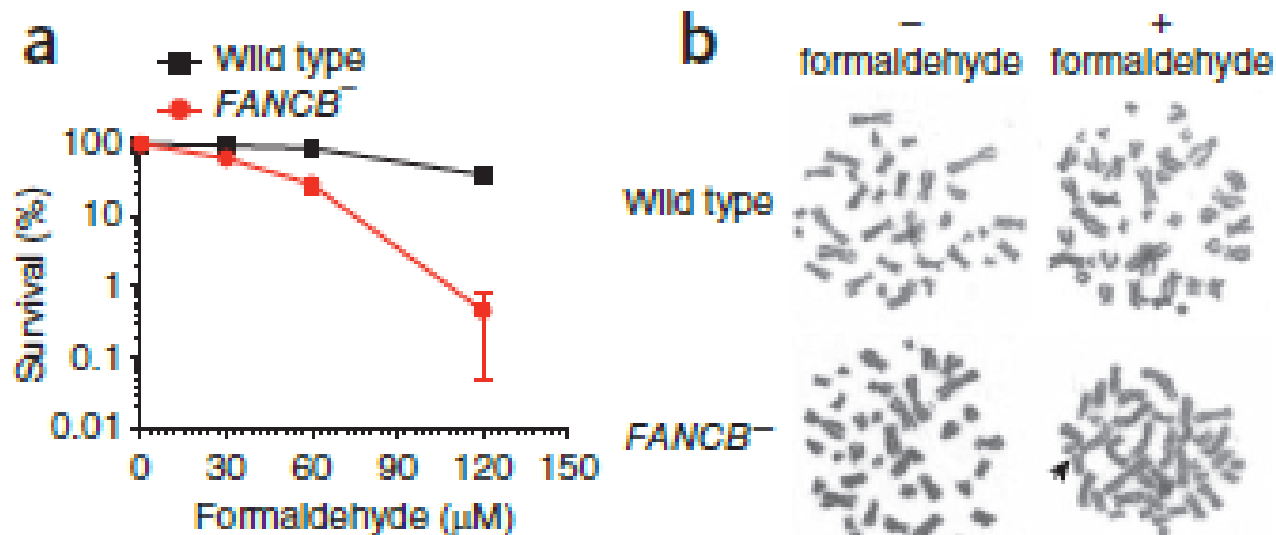
- Hematopoietic growth factors (50-75% response)
- Hematopoietic stem cell transplant
- Pre-implantation genetic diagnosis (PGD)



Autosomal recessive pattern of inheritance  
<https://www.pinterest.com/mayoclinic/>

# *In vitro* induced DNA-damage beyond repair in FANCB deficient human cells

Human B lymphocyte multiple lymphoma cell line (NALM-6)



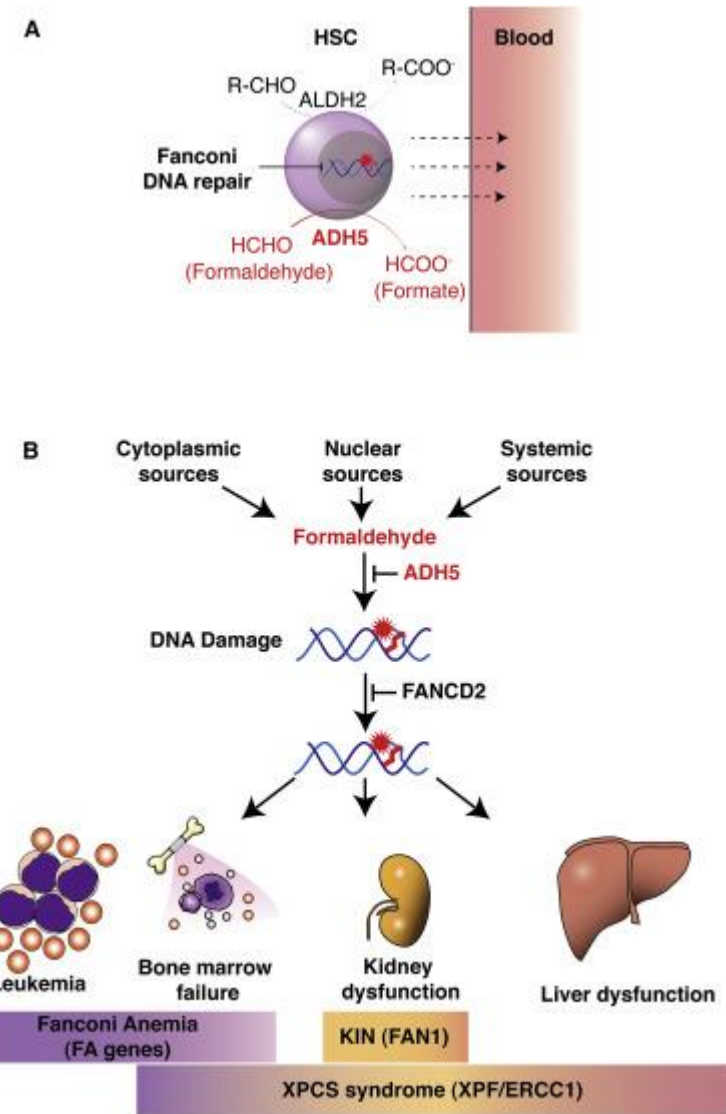
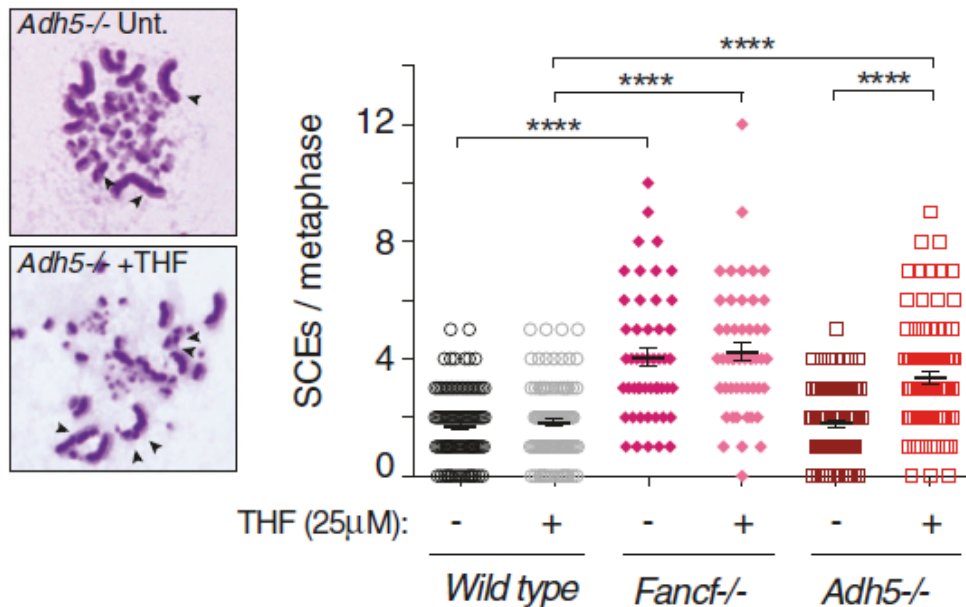
(a) Human FANCB knockout cells are sensitive to exogenous formaldehyde when tested by MTS cell viability/proliferation assay.

(b) Formaldehyde exposure in human FANCB<sup>-/-</sup> cells promotes chromosomal aberrations (left, arrowhead indicates radial structured chromosome; right, quantification of chromosomal aberrations, mean  $\pm$  s.e.m.,  $n = 2$ ).

# In vivo mouse model

Study molecular mechanism  
systemic toxicity in wild type and

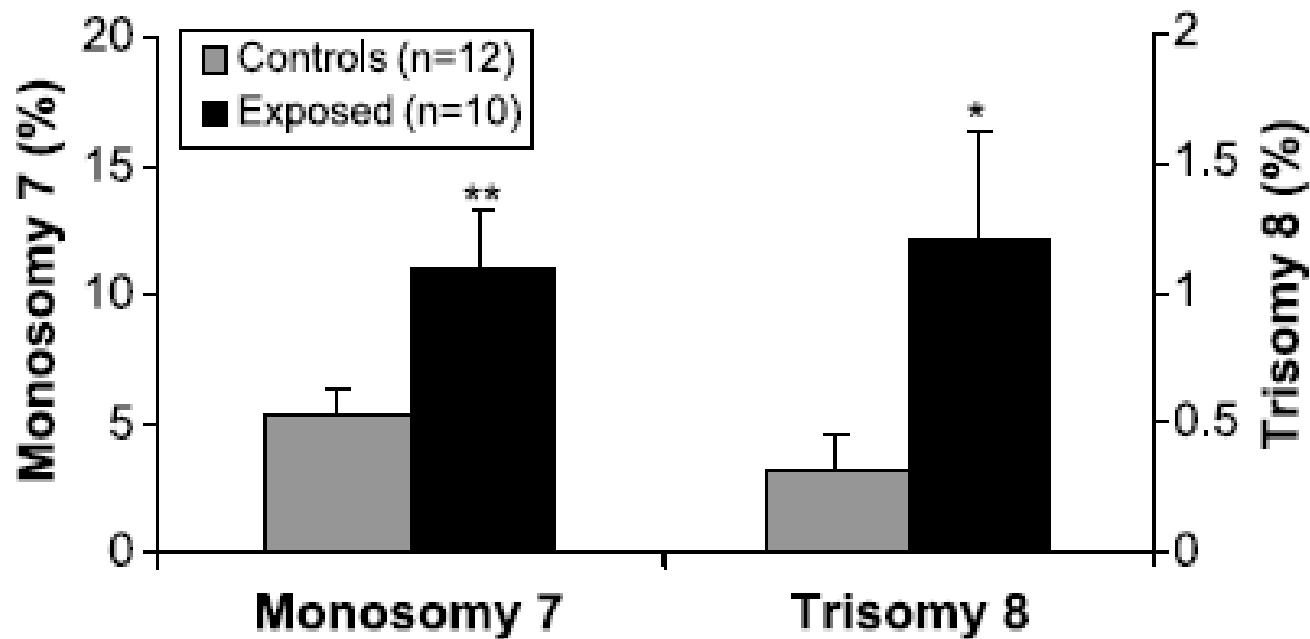
- Knock-out model for  $FANCD2^{-/-}$
- Double knock-out model for  $ADH5^{-/-}$  and  $FANCD2^{-/-}$
- Knock-out model for  $ADH5^{-/-}$



Pontel (2015) Mol Cell. 2015 Oct 1;60(1):177-88

García-Calderón et al. (2018) Cell Death Differ. 25(11):1967-1979

# In workers in China

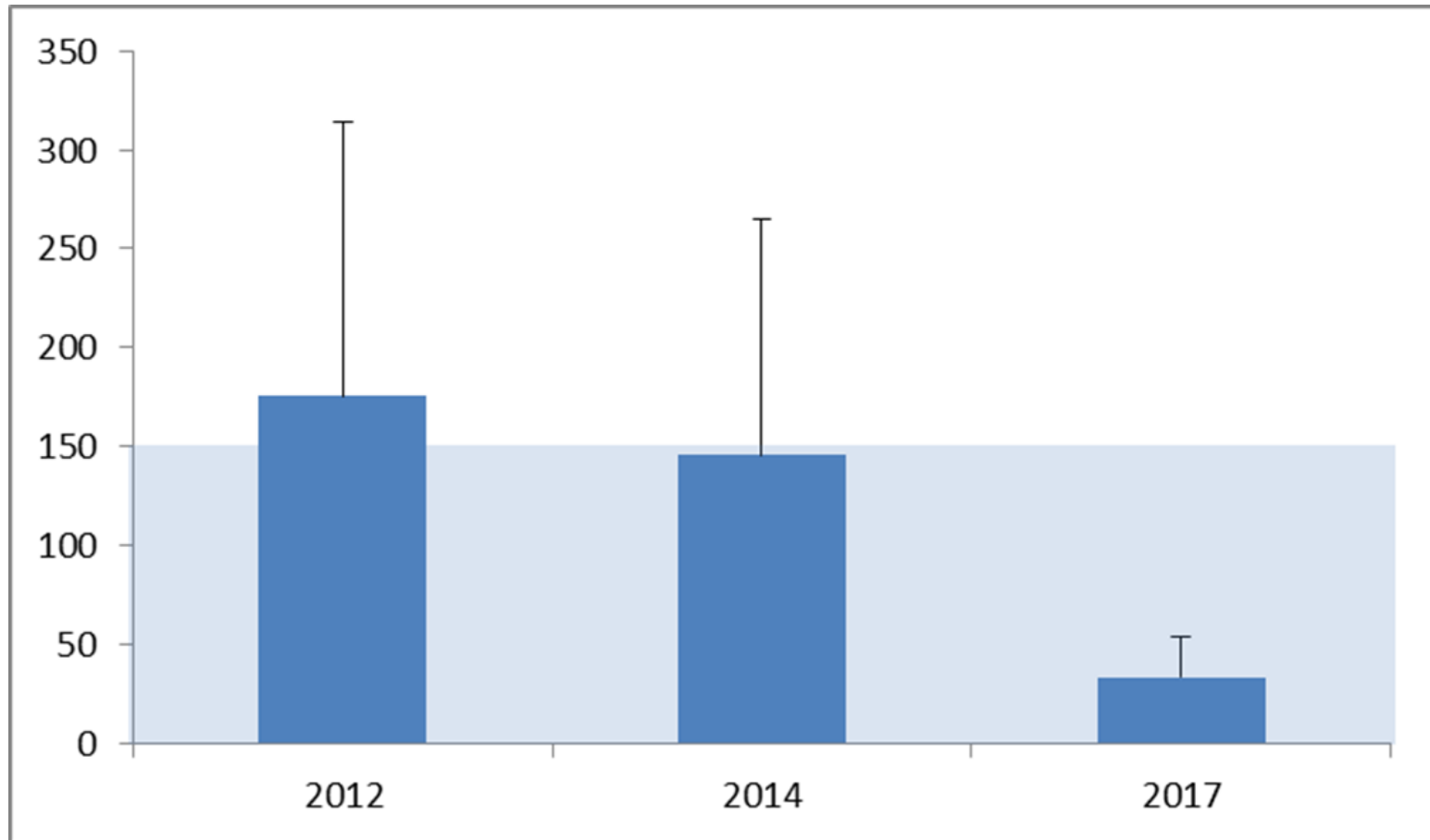


FISH test showed leukemia-specific chromosome changes in granulocyte-macrophage colony-forming units (CFU-GM), such as loss (monosomy) of chromosome 7 and gain (trisomy) of chromosome 8.

Controls: 0.026 ppm; exposed: 2.14 ppm; \*,  $P < 0.05$ ; \*\*,  $P < 0.01$

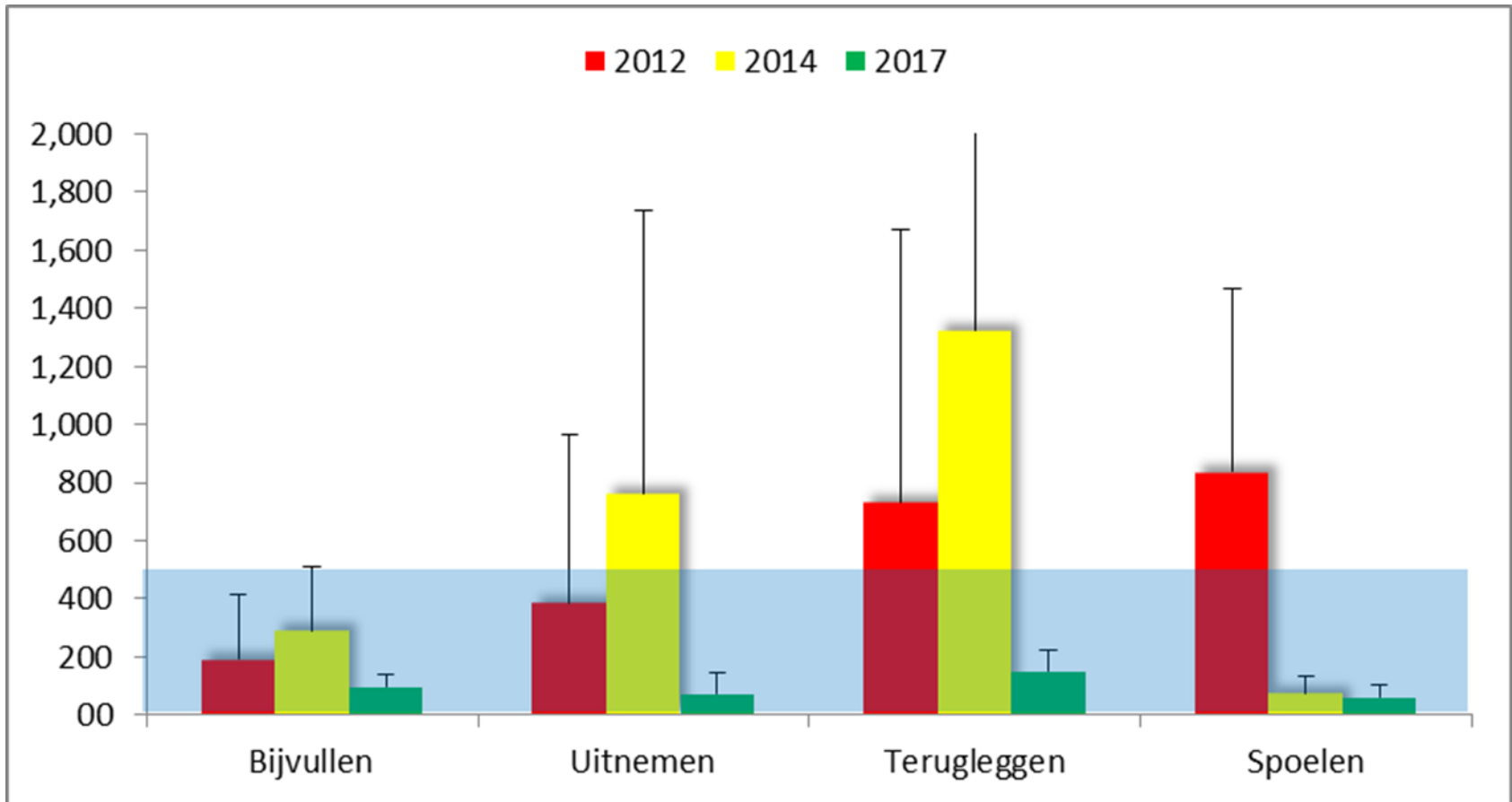


## Formaldehyde concentrations in breathing zone (8-h) (occupational exposure limit is 150 $\mu\text{g}/\text{m}^3$ )



Source: Scheepers (2018) Changes in Work Practices for Safe Use of Formaldehyde in a University-Based Anatomy Teaching and Research Facility. Int J Environ Res Public Health. 2018 Sep 19;15(9):2049.

## Formaldehyde concentrations in breathing zone (15 min) (occupational exposure limit 500 $\mu\text{g}/\text{m}^3$ )



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# Take 'where-ever-you-go' message

1. There is sufficient evidence for **local tumors** in the nose and nasal sinuses.
2. There **limited probabilistic evidence** for inhaled formaldehyde to be leukemogenic. However, animal *in vivo* bioassays have so far not been able to confirm DNA protein cross links and adducts in bone marrow derived from exogenous formaldehyde.
3. Human evidence in systematic review shows overall higher risk in **professional user** than industrial use (why?)
4. With a short-term exposure limit the Health Council of the Netherlands **preluded on adversity of peak exposures** in the nasal respiratory epithelium
5. For the moment (and perhaps also for the future) it is warranted to **evaluate task-based 'peak exposures'** using the 15-min OEL in addition to 8-h TWA.



Why is understanding causation so important in philosophy and the sciences? Should causation be defined in terms of probability? Whilst causation plays a major role in theories and concepts of medicine, little attempt has been made to connect causation and probability with medicine itself. Causality, Probability, and Medicine is one of the first books to apply philosophical reasoning about causality to important topics and debates in medicine.

<https://donaldgillies.wordpress.com/>



# CAUSALITY, PROBABILITY, AND MEDICINE

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DONALD GILLIES

August 2018

